

# Computability and Parallelism

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# Motivation

## Question

Does parallelism increase the set of functions that can be computed?

## Abstract/Outline

It is accepted that the  $\lambda$ -calculus is a model of computation. It is also known that Plotkin's `parallel-or` function or Church's  $\delta$  function are not  $\lambda$ -definable. We discuss if some extensions of the  $\lambda$ -calculus, which these functions are definable, contradict the Church-Turing thesis.

# Lambda Calculus

Alonzo Church (1903 – 1995)\*



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\*Figures sources: [History of computers](#), [Wikipedia](#) and [MacTutor History of Mathematics](#) .

# Lambda Calculus

## Some remarks

- A formal system invented by Church around 1930s.
- The goal was to use the  $\lambda$ -calculus in the **foundation** of mathematics.
- Intended for studying **functions** and **recursion**.
- Model of computation.
- A free-type functional programming language.
- $\lambda$ -notation (e.g., anonymous functions and currying).

# Lambda Calculus

## Informally

| $\lambda$ -calculus | Example  | Represent        |
|---------------------|--|------------------|
| Variable            | $x$  | $x$              |
| Abstraction         | $\lambda x.x^2 + 1$  | $f(x) = x^2 + 1$ |
| Application         | $(\lambda x.x^2 + 1)3$                                     | $f(3)$           |
| $\beta$ -reduction  | $(\lambda x.x^2 + 1)3 =_{\beta} x^2 + 1[x := 3] \equiv 10$ | $f(3) = 10$      |

## Definition

The set of  **$\lambda$ -terms** can be defined by an abstract grammar.

$$t ::= x \mid tt \mid \lambda x.t$$

# Lambda Calculus

## Conventions and syntactic sugar

- The symbol '≡' denotes the syntactic identity.
- Outermost parentheses are not written.
- Application has higher precedence, i.e.,

$$\lambda x.MN \equiv (\lambda x.(MN)).$$

- Application associates to the left, i.e.,

$$MN_1 \dots N_k \equiv (\dots ((MN_1)N_1) \dots N_k).$$

- Abstraction associates to the right, i.e.,

$$\begin{aligned} \lambda x_1 x_2 \dots x_n.M &\equiv \lambda x_1.\lambda x_2.\dots \lambda x_n.M \\ &\equiv (\lambda x_1.(\lambda x_2.(\dots (\lambda x_n.M) \dots))). \end{aligned}$$

# Lambda Calculus

## Example

Some  $\lambda$ -terms.

- $xx$  (self-application)
- $I \equiv \lambda x.x$  (identity operator)
- $\mathbf{true} \equiv \lambda xy.x$
- $\mathbf{false} \equiv \lambda xy.y$
- $\mathbf{zero} \equiv \lambda fx.x$
- $\mathbf{succ} \equiv \lambda nfx.f(nfx)$
- $\lambda f.VV$ , where  $V \equiv \lambda x.f(xx)$  (fixed-point operator)
- $\Omega \equiv ww$ , where  $\omega \equiv \lambda x.xx$ .

# Lambda Calculus

## Definition

A variable  $x$  occurs **free** in  $M$  if  $x$  is not in the scope of  $\lambda x$ . Otherwise,  $x$  occurs **bound**.

## Notation

The result of substituting  $N$  for every free occurrence of  $x$  in  $M$ , and changing bound variables to avoid clashes, is denoted by  $M[x := N]$ .\*

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\*See, e.g., Hindley and Seldin [2008, Definition 1.12].

# Lambda Calculus

## Definition

A **combinator** (or **closed  $\lambda$ -term**) is a  $\lambda$ -term without free variables.

## Convention

A combinator called for example `succ` will be denoted by `succ`.

## Remark

The programs in a programming language based on  $\lambda$ -calculus are combinators.

# Lambda Calculus

## Conversion rules

The functional behaviour of the  $\lambda$ -calculus is formalised through of their conversion rules:

$$\lambda x.N =_{\alpha} \lambda y.(N[x := y]) \quad (\alpha\text{-conversion})$$

$$(\lambda x.M)N =_{\beta} M[x := N] \quad (\beta\text{-conversion})$$

$$\lambda x.Mx =_{\eta} M \quad (\eta\text{-conversion})$$

# Lambda Calculus

## Example

Some examples of  $\beta$ -equality (or  $\beta$ -convertibility).

- $I M =_{\beta} M$
- $\text{succ zero} =_{\beta} \lambda f x. f x \equiv \text{one}$
- $\text{succ one} =_{\beta} \lambda f x. f(f x) \equiv \text{two}$
- $\Omega \equiv (\lambda x. x x)(\lambda x. x x) =_{\beta} \Omega =_{\beta} \Omega =_{\beta} \Omega \dots$

# Lambda Calculus

## Definition

A  **$\beta$ -redex** is a  $\lambda$ -term of the form  $(\lambda x.M)N$ .

## Definition

A  $\lambda$ -term which contains no  $\beta$ -redex is in  **$\beta$ -normal form** ( $\beta$ -nf).

## Definition

A  $\lambda$ -term  $N$  is a  **$\beta$ -nf of  $M$**  (or  $M$  **has the  $\beta$ -nf  $M$** ) iff  $N$  is a  $\beta$ -nf and  $M =_{\beta} N$ .

# Lambda Calculus

## Theorem

Church [1935, 1936] proved that the set

$$\{M \in \lambda\text{-term} \mid M \text{ has a } \beta\text{-normal form}\}$$

is not computable.\* This was the **first** not computable (undecidable) set ever.†

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\*We use the term 'computable' rather than 'recursive' following to Soare [1996].

†See also Barendregt [1990].

# The Church-Turing Thesis

Alan Mathison Turing (1912 – 1954)\*

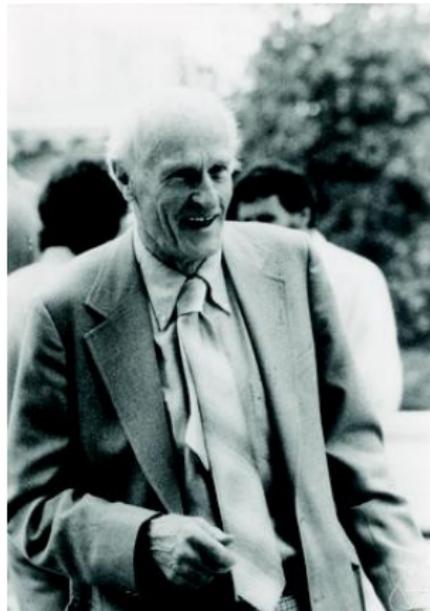


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\*Figures sources: [Wikipedia](#) and [National Portrait Gallery](#) .

# The Church-Turing Thesis

Stephen Cole Kleene (1909 – 1994)\*



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\* Figures sources: [MacTutor History of Mathematics](#) and [Oberwolfach](#).

# The Church-Turing Thesis

## Theorem

The following sets are coextensive:

- i)  $\lambda$ -definable functions,
- ii) functions computable by a Turing machine and
- iii) general recursive functions.

# The Church-Turing Thesis

## Common versions of the Church-Turing thesis

*“A function is **computable (effectively calculable)** if and only if there is a **Turing machine** which computes it.” [Galton 2006, p. 94]*

*“The **unprovable** assumption that any general way to compute will allow us compute only the partial-recursive functions (or equivalently, what Turing machines or modern-day computers can compute) is known as **Church’s hypothesis** or the **Church-Turing thesis**.” [Hopcroft, Motwani and Ullman 2007, p. 236]*

# The Church-Turing Thesis

## Historical remark

The Church-Turing thesis was not stated by Church nor Turing (they stated definitions) but by Kleene.\*

## An imprecision

Church [1936] and Turing [1936] definitions were in relation to a computer (human computer).

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\*See, e.g., Soare [1996] and Copeland [2002].

# The Church-Turing Thesis

## A better version of the Church-Turing thesis

*“Any procedure than can be carried out by an **idealised human clerk** working mechanically with paper and pencil can also be carried out by a Turing machine.” [Copeland and Sylvan 1999]*

# The Church-Turing Thesis

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*“Any procedure that can be carried out by an **idealised human clerk** working mechanically with paper and pencil can also be carried out by a Turing machine.” [Copeland and Sylvan 1999]*

## Question

Why are we talking about “versions” of the Church-Turing thesis?

# The Church-Turing Thesis

## A better version of the Church-Turing thesis

*“Any procedure that can be carried out by an **idealised human clerk** working mechanically with paper and pencil can also be carried out by a Turing machine.” [Copeland and Sylvan 1999]*

### Question

Why are we talking about “versions” of the Church-Turing thesis?

A/ Because the term 'Church-Turing thesis' was first named, but not defined, by Kleene in 1952 [Jay and Vergara 2004].

# Plotkin's parallel-or Function

## Definition

Let  $A$  be a type and let  $f$  and  $\perp$  be a terminating and a non-terminating function from  $a$  to  $a$ , respectively. Plotkin [1977] **parallel-or function** has the following behaviour:

$$\text{pOr} :: (a \rightarrow a) \rightarrow (a \rightarrow a) \rightarrow a \rightarrow a$$

$$\text{pOr } f \perp = f$$

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\*<http://hackage.haskell.org/package/unamb> .

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## Haskell implementation

See the `unamb` function from the unambiguous choice library.\*

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\*<http://hackage.haskell.org/package/unamb> .

# Plotkin's parallel-or Function

## Definition

From Sun's Multithreaded Programming Guide:\*

*“**Parallelism:** A condition that arises when at least two threads are executing **simultaneously.**”*

*“**Concurrency:** A condition that exists when at least two threads are **making progress.** A more generalized form of parallelism that can include time-slicing as a form of virtual parallelism.”*

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\*<https://docs.oracle.com/cd/E19455-01/806-5257/6je9h032b/index.html> .

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## Question

Are we talking about a parallel or concurrent function?

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\*<https://docs.oracle.com/cd/E19455-01/806-5257/6je9h032b/index.html> .

# Plotkin's parallel-or Function

## Theorem

The parallel-or function is an effectively calculable function which is not  $\lambda$ -definable [Plotkin 1977].\*

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\*See, also, Turner [2006].

# Church's $\delta$ Function

## Definition

Let  $M$  and  $N$  be combinators in  $\beta$ -nf. **Church's  $\delta$**  function is defined by

$$\delta MN = \begin{cases} \text{true}, & \text{if } M \equiv N; \\ \text{false}, & \text{if } M \not\equiv N. \end{cases}$$

## Theorem

Church's  $\delta$  function is not  $\lambda$ -definable [Barendregt 2004, Corollary 20.3.3, p. 520].

## Extensions of Lambda Calculus

Jay and Vergara [2017] wrote (emphasis is ours):

*“For over fifteen years, the lead author has been developing calculi that are **more expressive** than  $\lambda$ -calculus, beginning with the constructor calculus [8], then pattern calculus [2,7,3],  $SF$ -calculus [6] and now  $\lambda SF$ -calculus [5]. . .*

*[The]  $\lambda SF$ -calculus is able to query programs expressed as  $\lambda$ -abstractions, as well as combinators, something that is **beyond** pure  $\lambda$ -calculus.*

*In particular, we have proved (and **verified** in Coq [4]) that equality of closed normal forms is definable within  $\lambda SF$ -calculus.”*

## Extensions of Lambda Calculus

Jay and Vergara [2017] also stated the following corollaries:

1. Church's  $\delta$  is  $\lambda SF$ -definable.
2. Church's  $\delta$  is  $\lambda$ -definable.
3. Church's  $\delta$  is not  $\lambda$ -definable.

# Discussion

## Question

Do Plotkin's parallel-or function or Church's  $\delta$  function—which are effectively calculable functions but they are not  $\lambda$ -definable functions—contradict the Church-Turing thesis?

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## Question

Do Plotkin's parallel-or function or Church's  $\delta$  function—which are effectively calculable functions but they are not  $\lambda$ -definable functions—contradict the Church-Turing thesis?

A/ No! But we need a better version of the Church-Turing thesis.

# Discussion

## Definition

A function  $f$  is a **number-theoretical function** iff

$$f : \mathbb{N}^k \rightarrow \mathbb{N}, \text{ with } k \in \mathbb{N}.$$

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$$f : \mathbb{N}^k \rightarrow \mathbb{N}, \text{ with } k \in \mathbb{N}.$$

## Theorem

The following sets are coextensive:

- i)  $\lambda$ -definable number-theoretical functions,
- ii) number-theoretical functions computable by a Turing machine and
- iii) general recursive functions.

## Remark

The above theorem is historically precise as pointed out in [Jay and Vergara 2004].

# Discussion

## A better version of the Church-Turing thesis

We should define the Church-Turing thesis by:

Any number-theoretical function that can be computed by an idealised human clerk working mechanically with paper and pencil can also be computed by a Turing machine.

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## A better version of the Church-Turing thesis

We should define the Church-Turing thesis by:

Any number-theoretical function that can be computed by an idealised human clerk working mechanically with paper and pencil can also be computed by a Turing machine.

## Remark

Jay and Vergara [2004, 2017] also negatively answer the question under discussion stating other versions of the Church-Turing thesis.

## References



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Thanks!