

Field and Order Axioms of Real Numbers in Agda

Jorge O. Acevedo-Acosta and José L. Echeverri-Jurado

EAFIT University
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Abstract

The introduction of real numbers can be done in different ways. In this talk, from an axiomatic construction, we formalize the real numbers and some of their properties in the proof assistant Agda.

“It is possible to construct the real number system in an entirely rigorous manner, starting from careful statements of a few of basic principles of set theory.”¹

¹M. Rosenlicht (1968). Introduction to Analysis, p. 15.

“It is possible to construct the real number system in an entirely rigorous manner, starting from careful statements of a few of basic principles of set theory.”¹

“... assume certain basic properties (or axioms) of the real numbers system, all of which are in complete agreement with our intuition and all of which can be proved easily in the course of any rigorous construction of the system.”¹

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Field and Order Axioms of Real Numbers in Agda

Constant, Relationships and Basic Functions

postulate

\mathbb{R} : *Set*

r_0 : \mathbb{R}

r_1 : \mathbb{R}

$_+_$: $\mathbb{R} \rightarrow \mathbb{R} \rightarrow \mathbb{R}$

$_-_$: $\mathbb{R} \rightarrow \mathbb{R}$

$_*_$: $\mathbb{R} \rightarrow \mathbb{R} \rightarrow \mathbb{R}$

$_{-1}$: $\mathbb{R} \rightarrow \mathbb{R}$

$_>_$: $\mathbb{R} \rightarrow \mathbb{R} \rightarrow$ *Set*

The Axioms

The field axioms, the order axioms and completeness axiom (also called the least-upper-bound axiom).

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Field Axioms

According to the mathematical convention, it is called a field a set of two defined functions ($+$, \cdot) and also satisfy the axioms.

The Field Axioms

For all a, b, c in \mathbb{R} ,

- Commutativity

$$a + b = b + a,$$

$$a \cdot b = b \cdot a.$$

²H. L. Royden and P. M. Fitzpatrick (2010). Real Analysis, p. 8.

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$$(a + b) + c = a + (b + c),$$

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- Existence of Neutral Elements

$$a + 0 = a,$$

$$a \cdot 1 = a.$$

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 $a + 0 = a,$
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- Existence of Additive and Multiplicative Inverses
 $a + (-a) = 0,$
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- The Nontriviality Assumption²
 $1 \neq 0$

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 $a \cdot a^{-1} = 1. (a \neq 0)$.
- The Nontriviality Assumption²
 $1 \neq 0$
- Distributivity
 $a \cdot (b + c) = a \cdot b + a \cdot c$.

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Field and Order Axioms of Real Numbers in Agda

The Field Axioms in Agda

postulate

$$\begin{aligned} +\text{comm} & : (x\ y : \mathbb{R}) \quad \rightarrow x + y \quad \equiv y + x \\ +\text{asso} & : (x\ y\ z : \mathbb{R}) \quad \rightarrow x + y + z \quad \equiv x + (y + z) \\ +\text{neut} & : (x : \mathbb{R}) \quad \rightarrow x + r_0 \quad \equiv x \\ +\text{inve} & : (x : \mathbb{R}) \quad \rightarrow x + (-x) \quad \equiv r_0 \\ *\text{comm} & : (x\ y : \mathbb{R}) \quad \rightarrow x * y \quad \equiv y * x \\ *\text{asso} & : (x\ y\ z : \mathbb{R}) \quad \rightarrow x * y * z \quad \equiv x * (y * z) \\ *\text{neut} & : (x : \mathbb{R}) \quad \rightarrow x * r_1 \quad \equiv x \\ *\text{inve} & : (x : \mathbb{R}) \quad \rightarrow \neg(x \equiv r_0) \quad \rightarrow x * (x^{-1}) \equiv r_1 \\ 1 \neq 0 & : \neg(r_1 \equiv r_0) \\ \text{dist} & : (x\ y\ z : \mathbb{R}) \quad \rightarrow x * (y + z) \quad \equiv x * y + x * z \end{aligned}$$

Equality³

- *data* $_ \equiv _ : \mathbb{R} \rightarrow \mathbb{R} \rightarrow \text{Set}$ where
 refl : $\{x : \mathbb{R}\} \rightarrow x \equiv x$

³ Adapted from Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*, p. 23.

Equality³

- *data* $_ \equiv _ : \mathbb{R} \rightarrow \mathbb{R} \rightarrow \text{Set}$ where
 $\text{refl} : \{x : \mathbb{R}\} \rightarrow x \equiv x$
- Symmetry
 $\text{sym} : \{x y : \mathbb{R}\} \rightarrow x \equiv y \rightarrow y \equiv x$
 $\text{sym refl} = \text{refl}$

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Equality³

- *data* $_ \equiv _ : \mathbb{R} \rightarrow \mathbb{R} \rightarrow \text{Set where}$

refl : $\{x : \mathbb{R}\} \rightarrow x \equiv x$

- Symmetry

sym : $\{x y : \mathbb{R}\} \rightarrow x \equiv y \rightarrow y \equiv x$

sym refl = *refl*

- Transitive

trans : $\{x y z : \mathbb{R}\} \rightarrow x \equiv y \rightarrow y \equiv z \rightarrow x \equiv z$

trans refl refl = *refl*

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- The Rule of \equiv -*elimination*⁴

$$\begin{aligned} \text{subst} & : (P : \mathbb{R} \rightarrow \text{Set}) \rightarrow \{x\ y : \mathbb{R}\} \rightarrow x \equiv y \rightarrow \\ & \quad P\ x \rightarrow P\ y \\ \text{subst } P \text{ refl } P\ x & = P\ x \end{aligned}$$

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- The Rule of \equiv -*elimination*⁴

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- The Rule of \equiv -*elimination*⁴

$$\begin{aligned} \text{subst}_2 & : (P : \mathbb{R} \rightarrow \mathbb{R} \rightarrow \text{Set}) \rightarrow \{x_1\ x_2\ y_1\ y_2 : \mathbb{R}\} \rightarrow \\ & \quad x_1 \equiv x_2 \rightarrow y_1 \equiv y_2 \rightarrow P\ x_1\ y_1 \rightarrow P\ x_2\ y_2 \\ \text{subst}_2\ P \text{ refl } \text{ refl } h & = h \end{aligned}$$

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Field and Order Axioms of Real Numbers in Agda

Example

cancel : {x y z : ℝ} → x + z ≡ y + z → x ≡ y

cancel {x} {y} {z} h =

Field and Order Axioms of Real Numbers in Agda

Example

$cancel : \{x\ y\ z : \mathbb{R}\} \rightarrow x + z \equiv y + z \rightarrow x \equiv y$

$cancel\ \{x\}\ \{y\}\ \{z\}\ h =$

$x \quad \equiv \langle sym (+neut\ x) \rangle \quad (1)$

(3)

(5)

(7)

$y \quad \cdot \quad (8)$

Field and Order Axioms of Real Numbers in Agda

Example

cancel : {x y z : ℝ} → x + z ≡ y + z → x ≡ y

cancel {x} {y} {z} h =

x ≡ (sym (+neut x)) (1)

x + r₀ ≡ (subst (λ w → (x + r₀) ≡ (x + w))) (2)

(sym (+inve z)) refl (3)

(5)

(7)

y ≡ (subst (λ w → (x + r₀) ≡ (x + w))) (subst (λ w → (x + r₀) ≡ (x + w))) (sym (+inve z)) refl) (8)

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$$x \quad \equiv \langle \text{sym} (+neut\ x) \rangle \quad (1)$$

$$x + r_0 \quad \equiv \langle \text{subst} (\lambda w \rightarrow (x + r_0) \equiv (x + w)) \quad (\text{sym} (+inve\ z))\ refl \rangle \quad (2)$$

$$x + (z + (-z)) \quad \equiv \langle \text{sym} (+asso\ x\ z\ (-z)) \rangle \quad (3)$$

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$$x + (z + (-z)) \quad \equiv \langle \text{sym } (+\text{asso } x\ z\ (-z)) \rangle \quad (3)$$

$$(x + z) + (-z) \quad \equiv \langle \text{subst } (\lambda w \rightarrow (x + z) + (-z) \equiv w \quad +\ (-z))\ h\ \text{refl} \rangle \quad (4)$$

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The Order Axioms

For all a, b, c in \mathbb{R} ,

- Asymmetry

If $a > b$ then $b \not> a$.

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If $c > 0$ and $a > b$ then

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*If $c > 0$ and $a > b$ then
 $c \cdot a > c \cdot b$.*

- Transitivity

*If $a > b$ and $b > c$
then $a > c$.*

- Trichotomy

One and only one of the following statements is true:

$a > b$, $a = b$, $a < b$.

The Order Axioms in Agda

postulate

> asym : {x y : ℝ} → x > y → ¬(y > x)

> trans : {x y z : ℝ} → x > y → y > z → x > z

+cong : {x y z : ℝ} → x > y → z + x > z + y

*cong : {x y z : ℝ} → z > r₀ → x > y
→ z * x > z * y

trichotomy : (x y : ℝ) → (x > y) ∨ (x ≡ y) ∨ (x < y)

The Archimedean Axiom⁵

$$\forall a \in \mathbb{R}. \exists n \in \mathbb{N}. a < \text{inj}(n),$$

where

$$\text{inj} : \mathbb{N} \rightarrow \mathbb{R}$$

⁵Alberto Ciaffaglione and Pietro Di Gianantonio (2010). Types for Proofs and Programs, A tour with constructive real numbers, p. 43.

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The Archimedean Axiom in Agda

postulate

$$\text{archimedean} : (x : \mathbb{R}) \rightarrow \exists n (\lambda n \rightarrow \mathbb{N}2\mathbb{R} n > x)$$

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Example

$x+1 > x : (x : \mathbb{R}) \rightarrow x + r_1 > x$

$x+1 > x \quad x = \quad \quad \quad))$

⁷ $cancel : \{a b c : \mathbb{R}\} \rightarrow a + c > b + c \rightarrow a > b$

Example

$x+1 > x : (x : \mathbb{R}) \rightarrow x + r_1 > x$

$x+1 > x \quad x = p_1 - helper \quad))$

⁷ $cancel : \{a b c : \mathbb{R}\} \rightarrow a + c > b + c \rightarrow a > b$

Example

```
x+1>x : (x : ℝ) → x + r1 > x
x+1>x x = p1-helper ))
```

where

⁷cancel : {a b c : ℝ} → a + c > b + c → a > b

Example

$x+1 > x : (x : \mathbb{R}) \rightarrow x + r_1 > x$
 $x+1 > x \quad x = p_1\text{-helper} \quad \quad \quad))$

where

$p_1\text{-helper} : r_1 + x > x \rightarrow x + r_1 > x$

$p_1\text{-helper } h = \text{subst}_2 (\lambda t_1 t_2 \rightarrow t_1 > t_2) (\text{comm } r_1 x) \text{ refl } h$

⁷ $\text{cancel} : \{a b c : \mathbb{R}\} \rightarrow a + c > b + c \rightarrow a > b$

Example

$x+1 > x : (x : \mathbb{R}) \rightarrow x + r_1 > x$
 $x+1 > x \quad x = p_1\text{-helper}(\text{cancel}^7 \quad \quad \quad))$

where

$p_1\text{-helper} : r_1 + x > x \rightarrow x + r_1 > x$

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$x+1 > x : (x : \mathbb{R}) \rightarrow x + r_1 > x$

$x+1 > x \quad x =$

$p_1 - \text{helper } (\text{cancel } (p_2 - \text{helper } (p_3 - \text{helper } (p_4 - \text{helper } \quad))))$

where

$p_3 - \text{helper} : r_1 + r_0 > r_0 \rightarrow r_1 + (x + (-x)) > r_0$

$p_3 - \text{helper } h = \text{subst}_2 (\lambda t_1 t_2 \rightarrow t_1 > t_2) (\text{subst } (\lambda w \rightarrow$
 $r_1 + r_0 \equiv r_1 + w) (\equiv -\text{sym } (+ - \text{inve } x)) \text{ refl}) \text{ refl } h$

$p_4 - \text{helper} : r_1 > r_0 \rightarrow r_1 + r_0 > r_0$

$p_4 - \text{helper } r_1 > r_0 : \text{subst}_2 (\lambda t_1 t_2 \rightarrow t_1 > t_2) (\text{sym } (\text{neut } r_1))$
 $\text{refl } r_1 > r_0$

Example

$x+1 > x : (x : \mathbb{R}) \rightarrow x + r_1 > x$

$x+1 > x \quad x =$

$p_1 - helper \ (cancel \ (p_2 - helper \ (p_3 - helper \ (p_4 - helper \ (r_1 > r_0))))))$

where

$p_3 - helper : r_1 + r_0 > r_0 \rightarrow r_1 + (x + (-x)) > r_0$

$p_3 - helper \ h = subst_2 \ (\lambda t_1 t_2 \rightarrow t_1 > t_2) \ (subst \ (\lambda w \rightarrow r_1 + r_0 \equiv r_1 + w) \ (\equiv -sym \ (+ - inv \ x)) \ refl) \ refl \ h$

$p_4 - helper : r_1 > r_0 \rightarrow r_1 + r_0 > r_0$

$p_4 - helper \ r_1 > r_0 : subst_2 \ (\lambda t_1 t_2 \rightarrow t_1 > t_2) \ (sym \ (neut \ r_1)) \ refl \ r_1 > r_0$

Examples

To click on Example

- [Example 1](#)

Examples

To click on Example

- [Example 1](#)
- [Example 2](#)

Examples

To click on Example

- [Example 1](#)
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Examples

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Future Work

- Completeness Axiom
Axiomatize in Agda, the completeness property and define previously in Agda the concepts of upper bound, set of upper bounds and the least upper bound.

⁶ <http://www1.eafit.edu.co/asr/publications.html>

Future Work

- Completeness Axiom
Axiomatize in Agda, the completeness property and define previously in Agda the concepts of upper bound, set of upper bounds and the least upper bound.
- Automate the processes of demonstration using as reference the work of Ana Bove, Peter Dybjer, and Andrés Sicard-Ramírez⁶

⁶ <http://www1.eafit.edu.co/asr/publications.html>

Future Work

- The Archimedean Axiom in Coq
The Coq proof assistant, presented in its standard library⁷ a long version of the Archimedean property:

$$\forall a \in \mathbb{R}. \exists n \in \mathbb{N}. a < n \wedge n - a \leq 1.$$

With this axiom the property $x < x + 1$ is proved. In our case we did with the axioms of field and order.

Would be an interesting study to analyze the properties which demonstrates Coq using the Archimedean property and do demonstrations with the short version of this axiom.

⁷The Coq Proof Assistant (8.4pl4).

<https://coq.inria.fr/distrib/current/stdlib/Coq.Reals.Raxioms.html>

The Completeness Axiom

“A nonempty set E of real numbers is said to be **bounded above** provided there is a real number b such that $x \leq b$ for all $x \in E$: the number b is called an **upper bound** for E .

Similarly, we define what it means for a set to be **bounded below** and for a number to be a **lower bound** for a set. A set that is bounded above need not have a largest member. But the next axiom asserts that it does have a smallest upper bound.

The Completeness Axiom “Let E be a nonempty set of real numbers that is bounded above. Then among the set of upper bounds for E there is a smallest, or least, upper bound.”⁸

⁸H. L. Royden and P. M. Fitzpatrick (2010). Real Analysis. p. 9.

Definition

- $\forall a, b \in \mathbb{R}$, the relationship is introduced " $>$ " and " $<$ "
Either the expression:

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- Where $a - b > 0$, also:

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Definition

- $\forall a, b \in \mathbb{R}$, the relationship is introduced " $>$ " and " $<$ "
Either the expression:

$$a > b \text{ or } b < a.$$

- Where $a - b > 0$, also:

$$a \geq b \text{ or } b \leq a.$$

- That would be equivalent to: $a > b$ or $a = b$.

Reasoning Equational⁹

- $_ \equiv \langle _ \rangle _ : \forall x \{y z\} \rightarrow x \equiv y \rightarrow y \equiv z \rightarrow x \equiv z$
 $_ \equiv \langle x \equiv y \rangle y \equiv z = \textit{trans } x \equiv y y \equiv z$

⁹Mu, S.-C., Ko, H.-S. and Jansson, P. (2009). Algebra of Programming in Agda:

Dependent Types for Relational Program Derivation. *Journal of Functional Programming* 19.5, pp. 545-579.

Reasoning Equational⁹

- $_ \equiv \langle _ \rangle _ : \forall x \{y z\} \rightarrow x \equiv y \rightarrow y \equiv z \rightarrow x \equiv z$
 $_ \equiv \langle x \equiv y \rangle y \equiv z = \mathit{trans} \ x \equiv y \ y \equiv z$
- $_ \cdot : \forall x \rightarrow x \equiv x$
 $_ \cdot _ = \mathit{refl}$

⁹Mu, S.-C., Ko, H.-S. and Jansson, P. (2009). Algebra of Programming in Agda:

Dependent Types for Relational Program Derivation. *Journal of Functional Programming* 19.5, pp. 545-579.

Reasoning Equational⁹

- $_ \equiv \langle _ \rangle _ : \forall x \{y z\} \rightarrow x \equiv y \rightarrow y \equiv z \rightarrow x \equiv z$
 $_ \equiv \langle x \equiv y \rangle y \equiv z = \mathit{trans} \ x \equiv y \ y \equiv z$

- $_ \equiv : \forall x \rightarrow x \equiv x$
 $_ \equiv _ = \mathit{refl}$

- Injection

$$\mathbb{N}2\mathbb{R} : \mathbb{N} \rightarrow \mathbb{R}$$

$$\mathbb{N}2\mathbb{R} \ (\mathit{zero}) = r_0$$

$$\mathbb{N}2\mathbb{R} \ (\mathit{succ} \ n) = \mathbb{N}2\mathbb{R} \ n + r_1$$

⁹Mu, S.-C., Ko, H.-S. and Jansson, P. (2009). Algebra of Programming in Agda:

Dependent Types for Relational Program Derivation. *Journal of Functional Programming* 19.5, pp. 545-579.

Bottom and Existential

- Bottom¹⁰

data \perp : *Set* where

\perp -elim : $\{A : \text{Set}\} \rightarrow \perp \rightarrow A$

\perp -elim ()

¹⁰ Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*, p. 8

¹¹ Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*. p. 9

Bottom and Existential

- Bottom¹⁰

data \perp : *Set* where

\perp -elim : $\{A : \text{Set}\} \rightarrow \perp \rightarrow A$

\perp -elim ()

- \neg : *Set* \rightarrow *Set*

$\neg A = A \rightarrow \perp$

¹⁰Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*, p. 8

¹¹Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*. p. 9

Bottom and Existential

- Bottom¹⁰

data \perp : *Set* where

\perp -elim : $\{A : \text{Set}\} \rightarrow \perp \rightarrow A$

\perp -elim ()

- \neg : *Set* \rightarrow *Set*

$\neg A = A \rightarrow \perp$

- Existential¹¹

data \exists_r ($P : \mathbb{R} \rightarrow \text{Set}$) : *Set* where

exist : $(x : \mathbb{R}) \rightarrow P x \rightarrow \exists_r P$

¹⁰Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*, p. 8

¹¹Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*. p. 9

Conjunction¹²

- **data** $_ \wedge _ (A B : Set) : Set$ where
 $_ , _ : A \rightarrow B \rightarrow A \wedge B$

¹²Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*, pp. 18-19.

Conjunction¹²

- **data** $_ \wedge _ (A B : Set) : Set$ where
 $_ , _ : A \rightarrow B \rightarrow A \wedge B$
- $proj_1 : \forall \{A B\} \rightarrow A \wedge B \rightarrow A$
 $proj_1 (a, _) = a$

¹²Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*, pp. 18-19.

Conjunction¹²

- **data** $_ \wedge _ (A B : Set) : Set$ where
 $_ , _ : A \rightarrow B \rightarrow A \wedge B$
- $proj_1 : \forall \{A B\} \rightarrow A \wedge B \rightarrow A$
 $proj_1 (a, _) = a$
- $proj_2 : \forall \{A B\} \rightarrow A \wedge B \rightarrow B$
 $proj_2 (_, b) = b$

¹²Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*, pp. 18-19.

Disjunction¹³

- **data** $_ \vee _ (A B : Set) : Set$ where
 $inj_1 : A \rightarrow A \vee B$
 $inj_2 : B \rightarrow A \vee B$

¹³Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*, pp. 19-20.

Disjunction¹³

- **data** $_ \vee _ (A B : Set) : Set$ where
 - $inj_1 : A \rightarrow A \vee B$
 - $inj_2 : B \rightarrow A \vee B$
- $case : \forall \{A B\} \rightarrow \{C : Set\} \rightarrow (A \rightarrow C) \rightarrow (B \rightarrow C) \rightarrow A \vee B \rightarrow C$
 - $case f g (inj_1 a) = f a$
 - $case f g (inj_2 b) = g b$

¹³Ana Bove and Peter Dybjer (2009). *Dependent Types at Work*, pp. 19-20.